

## PATENT APPLICATION FEE DETERMINATION RECORD

Effective October 1, 2000

Application or Docket Number

*09898 639*

### CLAIMS AS FILED - PART I

	(Column 1)	(Column 2)
TOTAL CLAIMS	<i>11</i>	
FOR	NUMBER FILED	NUMBER EXTRA
TOTAL CHARGEABLE CLAIMS	<i>17</i> minus 20 =	* <i>0</i>
INDEPENDENT CLAIMS	<i>3</i> minus 3 =	* <i>0</i>
MULTIPLE DEPENDENT CLAIM PRESENT <input checked="" type="checkbox"/>		

\* If the difference in column 1 is less than zero, enter "0" in column 2

### CLAIMS AS AMENDED - PART II

	(Column 1)	(Column 2)	(Column 3)
AMENDMENT A	CLAIMS REMAINING AFTER AMENDMENT	HIGHEST NUMBER PREVIOUSLY PAID FOR	PRESENT EXTRA
	Total	* <i>12</i> Minus	** <i>11</i> = <i>1</i>
	Independent	* <i>4</i> Minus	*** <i>3</i> = <i>1</i>
	FIRST PRESENTATION OF MULTIPLE DEPENDENT CLAIM <input type="checkbox"/>		

	(Column 1)	(Column 2)	(Column 3)
AMENDMENT B	CLAIMS REMAINING AFTER AMENDMENT	HIGHEST NUMBER PREVIOUSLY PAID FOR	PRESENT EXTRA
	Total	* Minus	** =
	Independent	* Minus	*** =
	FIRST PRESENTATION OF MULTIPLE DEPENDENT CLAIM <input type="checkbox"/>		

	(Column 1)	(Column 2)	(Column 3)
AMENDMENT C	CLAIMS REMAINING AFTER AMENDMENT	HIGHEST NUMBER PREVIOUSLY PAID FOR	PRESENT EXTRA
	Total	* Minus	** =
	Independent	* Minus	*** =
	FIRST PRESENTATION OF MULTIPLE DEPENDENT CLAIM <input type="checkbox"/>		

SMALL ENTITY TYPE ☐

RATE	FEE
BASIC FEE	355.00
X\$ 9=	
X40=	
+135=	
TOTAL	

OR OTHER THAN SMALL ENTITY

RATE	FEE
BASIC FEE	710.00
X\$18=	
X80=	
+270=	<i>270</i>
TOTAL	<i>980</i>

SMALL ENTITY TYPE ☐

RATE	ADDITIONAL FEE
X\$ 9=	
X40=	
+135=	
TOTAL ADDIT. FEE	

OR OTHER THAN SMALL ENTITY

RATE	ADDITIONAL FEE
X\$18=	
X80=	<i>200.00</i>
+270=	
TOTAL ADDIT. FEE	

RATE	ADDITIONAL FEE
X\$ 9=	
X40=	
+135=	
TOTAL ADDIT. FEE	

RATE	ADDITIONAL FEE
X\$18=	
X80=	
+270=	
TOTAL ADDIT. FEE	

RATE	ADDITIONAL FEE
X\$ 9=	
X40=	
+135=	
TOTAL ADDIT. FEE	

RATE	ADDITIONAL FEE
X\$18=	
X80=	
+270=	
TOTAL ADDIT. FEE	

\* If the entry in column 1 is less than the entry in column 2, write "0" in column 3.  
 \*\* If the "Highest Number Previously Paid For" IN THIS SPACE is less than 20, enter "20."  
 \*\*\* If the "Highest Number Previously Paid For" IN THIS SPACE is less than 3, enter "3."  
 The "Highest Number Previously Paid For" (Total or Independent) is the highest number found in the appropriate box in column 1.

jc962 U.S. PRO  
07/03/01

**1180 Avenue of the Americas  
New York, New York 10036-8403**

**Tel (212) 382-0700 Fax (212) 382-0888**  
**email@ostrolenk.com**

**Express Mail #EL855846035US**

10021 U.S. PTO  
09/898639  
07/03/01

Asst. Commissioner for Patents  
Washington, DC 20231

**OFGS File No.** : P/126-206  
**Inventor** : Satoshi HASEGAWA et al  
**Title** : AUDIO ENCODER AND PSYCHOACOUSTIC ANALYZING  
           METHOD THEREFOR  
**Assignee** : NEC Corporation

Enclosed herewith please find the following documents in the above-identified application for United States Letters Patent:

<u>X</u>	Print EFS Data Sheet
<u>25</u>	Pages of Specification including Abstract and Claims
<u>17</u>	Numbered Claims Calculated as <u>17</u> Claims for Fee Purposes
<u>9</u>	Sheets of Drawing Containing Figures <u>1</u> to <u>10</u> . (Formal)
<u>X</u>	Declaration and Power of Attorney
<u>X</u>	Priority is Claimed under 35 U.S.C. §119:
	Convention Date <u>July 5, 2000</u> for <u>Japan</u> Appln. S.N. <u>203157/2000</u>
<u>X</u>	Certified Priority Application
	Small Entity Status is claimed.
<u>X</u>	Assignment
<u>X</u>	Return-Addressed Post Card

OFGS Check No. 5437, which includes the fee of \$1,020.00, calculated as follows:

**Basic Filing Fee:** . . . . . \$ 710.00

**Additional Filing Fees:**

Total Number of Claims in Excess of 20, times \$18: . . . .

Number of Independent Claims in Excess of 3, times \$80: . .

One or More Multiple Dependent Claims:	Total \$270.	270.00
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Total Filing Fees or	980.00
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Total Filing Fee Reduced 50% for Small Entity: . . . . .

<b>Assignment Recording Fee:</b>	<b>\$40</b>	<b>. . . . .</b>	<b>40.00</b>
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**TOTAL Filing Fee and Assignment Recording Fee: . . . . . \$1,020.00**

In the event the actual fee is greater than the payment submitted or is inadvertently not enclosed, or if any additional fee during the prosecution of this case is not paid, the Patent and Trademark Office is authorized to charge the underpayment to Deposit Account No. 15-0700.

**EXPRESS MAIL CERTIFICATE**

I hereby certify that this correspondence is being deposited with the United States Postal Service as Express Mail Post Office to Addressee (mail label #EL855846035US) in an envelope addressed to: Asst. Commissioner for Patents, Washington, D.C. 20231, on July 3, 2001:

## Tamika Sumpter

Name of Person Mailing Correspondence

Signature

Signature  
July 3, 2001

**Date of Signature**

Respectfully submitted,

Max Moskowitz

Registration No.: 30,576  
OSTROLENK, FABER, GERB & SOFFEN, LLP  
1180 Avenue of the Americas  
New York, New York 10036-8403  
Telephone: (212) 382-0700

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